

# Uttam Galva Steels Limited



UTTAM HOUSE,  
69, P. D'MELLO ROAD,  
MUMBAI - 400 009.  
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2348 1598

Ref. No. UGSL /Stock Exchange /BSE 2012/22

10<sup>th</sup> October, 2012

To,  
The Manager Listing  
The Bombay Stock Exchange Limited.  
P.J. Towers,  
Dalal Street,  
MUMBAI 400 001

Dear Sir,

Sub : Compliance of Clause 35 of the Listing Agreement

Please find enclosed herewith the Shareholding Pattern as per clause 35 of the Listing Agreement as on 30<sup>th</sup> September, 2012.

Kindly take the same on your record.

Thanking you.

Yours faithfully,  
For **Uttam Galva Steels Limited**

(Ram Gaud)  
Jt. Company Secretary

Encl : as above

## Introductory sub-table (I)(a)

Name of the Company: Uttam Galva Steels Limited

Scrip Code : 513216, Name of the scrip : Uttam Galva, class of security: Equity

Quarter ended: September 2012

| Partly paid-up shares:-         | No. of partly paid-up shares | As a % of total no. of partly paid-up shares | As a % of total no. of shares of the company |
|---------------------------------|------------------------------|----------------------------------------------|----------------------------------------------|
| Held by promoter/promoter group | 0                            | 0                                            | 0                                            |
| Held by public                  | 0                            | 0                                            | 0                                            |
| <b>Total</b>                    | <b>0</b>                     | <b>0</b>                                     | <b>0</b>                                     |

| Outstanding convertible securities:- | No. of outstanding securities | As a % of total no. of outstanding convertible securities | As a % of total no. of shares of the company, assuming full conversion of the convertible securities |
|--------------------------------------|-------------------------------|-----------------------------------------------------------|------------------------------------------------------------------------------------------------------|
| Held by promoter/promoter group      | 0                             | 0                                                         | 0                                                                                                    |
| Held by public                       | 0                             | 0                                                         | 0                                                                                                    |
| <b>Total</b>                         | <b>0</b>                      | <b>0</b>                                                  | <b>0</b>                                                                                             |

| Warrants:-                      | No. of warrants | As a % of total no. of warrants | As a % of total no. of shares of the company, assuming full conversion of warrants |
|---------------------------------|-----------------|---------------------------------|------------------------------------------------------------------------------------|
| Held by promoter/promoter group | 0               | 0                               | 0                                                                                  |
| Held by public                  | 0               | 0                               | 0                                                                                  |
| <b>Total</b>                    | <b>0</b>        | <b>0</b>                        | <b>0</b>                                                                           |

Total paid-up capital of the company, assuming full conversion of warrants and convertible securities

122260103

For UTTAM GALVA STEELS LIMITED

  
 Ram Gaud  
 Joint Company Secretary

**Statement Showing Shareholding Pattern**

| Category<br>Code | Category of<br>Shareholder                                                                  | Number of<br>Shareholder<br>s | Table (I)(a)                 |                                                       | Total shareholding as a<br>percentage of total number<br>of shares |                                  | Shares Pledged or otherwise<br>encumbered |                       |
|------------------|---------------------------------------------------------------------------------------------|-------------------------------|------------------------------|-------------------------------------------------------|--------------------------------------------------------------------|----------------------------------|-------------------------------------------|-----------------------|
|                  |                                                                                             |                               | Total<br>number<br>of shares | Number of<br>shares held in<br>dematerialized<br>form | As a<br>percentage<br>of (A+B) <sup>1</sup>                        | As a<br>percentage<br>of (A+B+C) | Number of<br>shares                       | As a percentage       |
| (I)              | (II)                                                                                        | (III)                         | (IV)                         | (V)                                                   | (VI)                                                               | (VII)                            | (VIII)                                    | (IX)= (VIII)/(IV)*100 |
| <b>(A)</b>       | <b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>                              |                               |                              |                                                       |                                                                    |                                  |                                           |                       |
| <b>1</b>         | <b>Indian</b>                                                                               |                               |                              |                                                       |                                                                    |                                  |                                           |                       |
| (a)              | Individuals/ Hindu Undivided Family                                                         | 8                             | 5961700                      | 5961700                                               | 4.88                                                               | 4.88                             |                                           | 0.00                  |
| (b)              | Central Government/ State Government(s)                                                     |                               |                              |                                                       | 0.00                                                               | 0.00                             |                                           | 0.00                  |
| (c)              | Bodies Corporate                                                                            | 5                             | 39304520                     | 39304520                                              | 32.15                                                              | 32.15                            | 2502500                                   | 6.37                  |
| (d)              | Financial Institutions/ Banks                                                               |                               |                              |                                                       | 0.00                                                               | 0.00                             |                                           | 0.00                  |
| (e)              | Any Others(Specify)                                                                         |                               |                              |                                                       | 0.00                                                               | 0.00                             |                                           | 0.00                  |
| (e-i)            |                                                                                             |                               |                              |                                                       | 0.00                                                               | 0.00                             |                                           | 0.00                  |
| (e-ii)           |                                                                                             |                               |                              |                                                       | 0.00                                                               | 0.00                             |                                           | 0.00                  |
|                  |                                                                                             |                               |                              |                                                       |                                                                    |                                  |                                           |                       |
|                  | <b>Sub Total(A)(1)</b>                                                                      | <b>13</b>                     | <b>45266220</b>              | <b>45266220</b>                                       | <b>37.02</b>                                                       | <b>37.02</b>                     | <b>2502500</b>                            | <b>5.53</b>           |
|                  |                                                                                             |                               |                              |                                                       |                                                                    |                                  |                                           |                       |
| <b>2</b>         | <b>Foreign</b>                                                                              |                               |                              |                                                       |                                                                    |                                  |                                           |                       |
| a                | Individuals (Non-Residents Individuals/<br>Foreign Individuals)                             |                               |                              |                                                       | 0.00                                                               | 0.00                             |                                           | 0.00                  |
| b                | Bodies Corporate                                                                            | 1                             | 41327931                     | 41327931                                              | 33.80                                                              | 33.80                            |                                           | 0.00                  |
| c                | Institutions                                                                                |                               |                              |                                                       | 0.00                                                               | 0.00                             |                                           | 0.00                  |
| d                | Qualified Foreign Investor                                                                  |                               |                              |                                                       | 0.00                                                               | 0.00                             |                                           | 0.00                  |
| e                | Any Others(Specify)                                                                         |                               |                              |                                                       | 0.00                                                               | 0.00                             |                                           | 0.00                  |
| e-i              |                                                                                             |                               |                              |                                                       | 0.00                                                               | 0.00                             |                                           | 0.00                  |
| e-ii             |                                                                                             |                               |                              |                                                       |                                                                    |                                  |                                           |                       |
|                  |                                                                                             |                               |                              |                                                       |                                                                    |                                  |                                           |                       |
|                  | <b>Sub Total(A)(2)</b>                                                                      | <b>1</b>                      | <b>41327931</b>              | <b>41327931</b>                                       | <b>33.80</b>                                                       | <b>33.80</b>                     | <b>0</b>                                  | <b>0.00</b>           |
|                  |                                                                                             |                               |                              |                                                       |                                                                    |                                  |                                           |                       |
|                  | <b>Total Shareholding of Promoter and Promoter Group<br/>(A)= (A)(1)+(A)(2)</b>             | <b>14</b>                     | <b>86594151</b>              | <b>86594151</b>                                       | <b>70.83</b>                                                       | <b>70.83</b>                     | <b>2502500</b>                            | <b>2.89</b>           |
|                  |                                                                                             |                               |                              |                                                       |                                                                    |                                  |                                           |                       |
| <b>(B)</b>       | <b>Public shareholding</b>                                                                  |                               |                              |                                                       |                                                                    |                                  |                                           |                       |
| <b>1</b>         | <b>Institutions</b>                                                                         |                               |                              |                                                       |                                                                    |                                  |                                           |                       |
| (a)              | Mutual Funds/ UTI                                                                           | 17                            | 37800                        | 0                                                     | 0.03                                                               | 0.03                             |                                           |                       |
| (b)              | Financial Institutions / Banks                                                              | 11                            | 19274                        | 8674                                                  | 0.02                                                               | 0.02                             |                                           |                       |
| (c)              | Central Government/ State Government(s)                                                     |                               |                              |                                                       | 0.00                                                               | 0.00                             |                                           |                       |
| (d)              | Venture Capital Funds                                                                       |                               |                              |                                                       | 0.00                                                               | 0.00                             |                                           |                       |
| (e)              | Insurance Companies                                                                         |                               |                              |                                                       | 0.00                                                               | 0.00                             |                                           |                       |
| (f)              | Foreign Institutional Investors                                                             | 23                            | 18958620                     | 18943720                                              | 15.51                                                              | 15.51                            |                                           |                       |
| (g)              | Foreign Venture Capital Investors                                                           |                               |                              |                                                       | 0.00                                                               | 0.00                             |                                           |                       |
| (h)              | Qualified Foreign Investor                                                                  |                               |                              |                                                       | 0.00                                                               | 0.00                             |                                           |                       |
| (i)              | Any Other (specify)                                                                         |                               |                              |                                                       | 0.00                                                               | 0.00                             |                                           |                       |
| (i-ii)           |                                                                                             |                               |                              |                                                       | 0.00                                                               | 0.00                             |                                           |                       |
| (i-ii)           |                                                                                             |                               |                              |                                                       |                                                                    |                                  |                                           |                       |
|                  |                                                                                             |                               |                              |                                                       |                                                                    |                                  |                                           |                       |
|                  | <b>Sub-Total (B)(1)</b>                                                                     | <b>51</b>                     | <b>19015694</b>              | <b>18952394</b>                                       | <b>15.55</b>                                                       | <b>15.55</b>                     |                                           |                       |
|                  |                                                                                             |                               |                              |                                                       |                                                                    |                                  |                                           |                       |
| <b>B 2</b>       | <b>Non-institutions</b>                                                                     |                               |                              |                                                       |                                                                    |                                  |                                           |                       |
| (a)              | Bodies Corporate                                                                            | 444                           | 2037727                      | 1985972                                               | 1.67                                                               | 1.67                             |                                           |                       |
| (b)              | Individuals                                                                                 |                               |                              |                                                       | 0.00                                                               | 0.00                             |                                           |                       |
| I                | Individuals -i. Individual shareholders holding nominal<br>share capital up to Rs 1 lakh    | 28440                         | 7885743                      | 6642401                                               | 6.45                                                               | 6.45                             |                                           |                       |
| II               | ii. Individual shareholders holding nominal share capital<br>in excess of Rs. 1 lakh.       | 71                            | 3085910                      | 2969410                                               | 2.52                                                               | 2.52                             |                                           |                       |
| (c)              | Qualified Foreign Investor                                                                  |                               |                              |                                                       | 0.00                                                               | 0.00                             |                                           |                       |
| (d)              | Any Other (specify)                                                                         |                               |                              |                                                       | 0.00                                                               | 0.00                             |                                           |                       |
| (d-i)            | Clearing Members                                                                            | 126                           | 364809                       | 364809                                                | 0.30                                                               | 0.30                             |                                           |                       |
| (d-ii)           | Trusts                                                                                      | 2                             | 800                          | 800                                                   | 0.00                                                               | 0.00                             |                                           |                       |
| (d-iii)          | NRI/OCBs                                                                                    | 412                           | 3275269                      | 420512                                                | 2.68                                                               | 2.68                             |                                           |                       |
|                  |                                                                                             |                               |                              |                                                       |                                                                    |                                  |                                           |                       |
|                  | <b>Sub-Total (B)(2)</b>                                                                     | <b>29495</b>                  | <b>16650258</b>              | <b>12383904</b>                                       | <b>13.62</b>                                                       | <b>13.62</b>                     |                                           |                       |
|                  |                                                                                             |                               |                              |                                                       |                                                                    |                                  |                                           |                       |
| <b>(B)</b>       | <b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>                                         | <b>29546</b>                  | <b>35665952</b>              | <b>31336298</b>                                       | <b>29.17</b>                                                       | <b>29.17</b>                     |                                           |                       |
|                  |                                                                                             |                               |                              |                                                       |                                                                    |                                  |                                           |                       |
|                  | <b>TOTAL (A)+(B)</b>                                                                        | <b>29560</b>                  | <b>122260103</b>             | <b>117930449</b>                                      | <b>100.00</b>                                                      | <b>100.00</b>                    |                                           |                       |
|                  |                                                                                             |                               |                              |                                                       |                                                                    |                                  |                                           |                       |
| <b>(C)</b>       | <b>Shares held by Custodians and against which<br/>Depository Receipts have been issued</b> |                               |                              |                                                       |                                                                    |                                  |                                           |                       |
| 1                | Promoter and Promoter Group                                                                 |                               |                              |                                                       |                                                                    | 0.00                             |                                           | 0.00                  |
| 2                | Public                                                                                      |                               |                              |                                                       |                                                                    | 0.00                             |                                           | 0.00                  |
|                  | <b>Sub-Total (C )</b>                                                                       | <b>0</b>                      | <b>0</b>                     | <b>0</b>                                              |                                                                    | <b>0</b>                         | <b>0</b>                                  |                       |
|                  |                                                                                             |                               |                              |                                                       |                                                                    |                                  |                                           |                       |
|                  | <b>GRAND TOTAL (A)+(B)+(C)</b>                                                              | <b>29560</b>                  | <b>122260103</b>             | <b>117930449</b>                                      |                                                                    | <b>100.00</b>                    | <b>2502500</b>                            | <b>2.05</b>           |

(1)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

| Sr. No. | Name of the shareholder                       | Details of Shares held |                                       | Encumbered shares (*) |                    |                                                         | Details of warrants     |                                                   | Details of convertible securities     |                                                                 | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|-----------------------------------------------|------------------------|---------------------------------------|-----------------------|--------------------|---------------------------------------------------------|-------------------------|---------------------------------------------------|---------------------------------------|-----------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|
|         |                                               | Number of shares held  | As a % of grand total (A) +(B) +( C ) | No.                   | As a percentage    | As a % of grand total (A)+(B)+(C) of sub-clause (I)(a ) | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | As a % total number of convertible securities of the same class |                                                                                                                                            |
| (I)     | (II)                                          | (III)                  | (IV)                                  | (V)                   | (VI)=(V)/(III)*100 | (VII)                                                   | (VIII)                  | (IX)                                              | (X)                                   | (XI)                                                            | (XII)                                                                                                                                      |
| 1       | Ankit Miglani                                 | 1300000                | 1.06                                  |                       | 0.00               | 0.00                                                    |                         | 0                                                 |                                       | 0                                                               | 1.06                                                                                                                                       |
| 2       | Anuj R Miglani                                | 1302094                | 1.07                                  |                       | 0.00               | 0.00                                                    |                         | 0                                                 |                                       | 0                                                               | 1.07                                                                                                                                       |
| 3       | Archana Miglani                               | 307500                 | 0.25                                  |                       | 0.00               | 0.00                                                    |                         | 0                                                 |                                       | 0                                                               | 0.25                                                                                                                                       |
| 4       | Neelam Rajinder Miglani                       | 1127501                | 0.92                                  |                       | 0.00               | 0.00                                                    |                         | 0                                                 |                                       | 0                                                               | 0.92                                                                                                                                       |
| 5       | Priyanka Miglani                              | 307500                 | 0.25                                  |                       | 0.00               | 0.00                                                    |                         | 0                                                 |                                       | 0                                                               | 0.25                                                                                                                                       |
| 6       | Rajinder Uttamchand Miglani                   | 1391855                | 1.14                                  |                       | 0.00               | 0.00                                                    |                         | 0                                                 |                                       | 0                                                               | 1.14                                                                                                                                       |
| 7       | Sheetal Miglani                               | 203750                 | 0.17                                  |                       | 0.00               | 0.00                                                    |                         | 0                                                 |                                       | 0                                                               | 0.17                                                                                                                                       |
| 8       | Sudiksha Miglani - Minor (U/G Anuj R Miglani) | 21500                  | 0.02                                  |                       | 0.00               | 0.00                                                    |                         | 0                                                 |                                       | 0                                                               | 0.02                                                                                                                                       |
| 9       | Archisha Investments Pvt. Ltd.                | 5849878                | 4.78                                  |                       | 0.00               | 0.00                                                    |                         | 0                                                 |                                       | 0                                                               | 4.78                                                                                                                                       |
| 10      | Sainath Trading Company Pvt. Ltd.             | 3323600                | 2.72                                  |                       | 0.00               | 0.00                                                    |                         | 0                                                 |                                       | 0                                                               | 2.72                                                                                                                                       |
| 11      | Kredence Multi Trading Ltd.                   | 14921063               | 12.20                                 |                       | 0.00               | 0.00                                                    |                         | 0                                                 |                                       | 0                                                               | 12.20                                                                                                                                      |
| 12      | Uttam Exports Pvt. Ltd.                       | 7324379                | 5.99                                  | 2502500               | 34.17              | 2.05                                                    |                         | 0                                                 |                                       | 0                                                               | 5.99                                                                                                                                       |
| 13      | Shree Uttam Steel & Power Ltd.                | 7885600                | 6.45                                  |                       | 0.00               | 0.00                                                    |                         | 0                                                 |                                       | 0                                                               | 6.45                                                                                                                                       |
| 14      | Arcelormittal Netherlands BV                  | 41327931               | 33.80                                 |                       | 0.00               | 0.00                                                    |                         | 0                                                 |                                       | 0                                                               | 33.80                                                                                                                                      |
| TOTAL   |                                               | 86594151               | 70.83                                 | 2502500               | 2.89               | 2.05                                                    | 0                       | 0                                                 | 0                                     | 0                                                               | 70.83                                                                                                                                      |

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011



(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

| Sr. No. | Name of the shareholder          | Number of shares held | Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above) | Details of warrants     |                                                   | Details of convertible                |                                                                  | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|----------------------------------|-----------------------|------------------------------------------------------------------------------------------------------------------------------|-------------------------|---------------------------------------------------|---------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|
|         |                                  |                       |                                                                                                                              | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t total number of convertible securities of the same class |                                                                                                                                            |
| 1       | Cresta Fund Limited              | 12061801              | 9.87                                                                                                                         |                         | 0                                                 |                                       | 0                                                                | 9.87                                                                                                                                       |
| 2       | Prime India Investment Fund Ltd. | 5912833               | 4.84                                                                                                                         |                         | 0                                                 |                                       | 0                                                                | 4.84                                                                                                                                       |
| 3       | Sanjeev Gupta                    | 2714700               | 2.22                                                                                                                         |                         | 0                                                 |                                       | 0                                                                | 2.22                                                                                                                                       |
| 4       |                                  |                       | 0.00                                                                                                                         |                         | 0                                                 |                                       | 0                                                                | 0.00                                                                                                                                       |
| 5       |                                  |                       | 0.00                                                                                                                         |                         | 0                                                 |                                       | 0                                                                | 0.00                                                                                                                                       |
| 6       |                                  |                       | 0.00                                                                                                                         |                         | 0                                                 |                                       | 0                                                                | 0.00                                                                                                                                       |
| 7       |                                  |                       | 0.00                                                                                                                         |                         | 0                                                 |                                       | 0                                                                | 0.00                                                                                                                                       |
| 8       |                                  |                       | 0.00                                                                                                                         |                         | 0                                                 |                                       | 0                                                                | 0.00                                                                                                                                       |
| 9       |                                  |                       | 0.00                                                                                                                         |                         | 0                                                 |                                       | 0                                                                | 0.00                                                                                                                                       |
| 10      |                                  |                       | 0.00                                                                                                                         |                         | 0                                                 |                                       | 0                                                                | 0.00                                                                                                                                       |
|         |                                  |                       |                                                                                                                              |                         |                                                   |                                       |                                                                  |                                                                                                                                            |
|         |                                  |                       |                                                                                                                              |                         |                                                   |                                       |                                                                  |                                                                                                                                            |
| TOTAL   |                                  | 20689334              | 16.92                                                                                                                        | 0                       | 0                                                 | 0                                     | 0                                                                | 16.92                                                                                                                                      |



(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

| No. | Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them | Number of shares | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} | Details of warrants |                                                   | Details of convertible                |                                                                  | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|-----|---------------------------------------------------------------------------------|------------------|------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------------------------------------|---------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|
|     |                                                                                 |                  |                                                                                                                              | Number of warrants  | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t total number of convertible securities of the same class |                                                                                                                                            |
| 1   | Cresta Fund Limited                                                             | 12061801         | 9.87                                                                                                                         |                     | 0                                                 |                                       | 0                                                                | 9.87                                                                                                                                       |
|     |                                                                                 |                  | 0.00                                                                                                                         |                     | 0                                                 |                                       | 0                                                                | 0.00                                                                                                                                       |
|     |                                                                                 |                  | 0.00                                                                                                                         |                     | 0                                                 |                                       | 0                                                                | 0.00                                                                                                                                       |
|     |                                                                                 |                  | 0.00                                                                                                                         |                     | 0                                                 |                                       | 0                                                                | 0.00                                                                                                                                       |
|     |                                                                                 |                  | 0.00                                                                                                                         |                     | 0                                                 |                                       | 0                                                                | 0.00                                                                                                                                       |
|     |                                                                                 |                  | 0.00                                                                                                                         |                     | 0                                                 |                                       | 0                                                                | 0.00                                                                                                                                       |
|     |                                                                                 |                  | 0.00                                                                                                                         |                     | 0                                                 |                                       | 0                                                                | 0.00                                                                                                                                       |
|     |                                                                                 |                  | 0.00                                                                                                                         |                     | 0                                                 |                                       | 0                                                                | 0.00                                                                                                                                       |
|     |                                                                                 |                  | 0.00                                                                                                                         |                     | 0                                                 |                                       | 0                                                                | 0.00                                                                                                                                       |
|     |                                                                                 |                  | 0.00                                                                                                                         |                     | 0                                                 |                                       | 0                                                                | 0.00                                                                                                                                       |
|     |                                                                                 |                  | 0.00                                                                                                                         |                     | 0                                                 |                                       | 0                                                                | 0.00                                                                                                                                       |
|     |                                                                                 |                  | 0.00                                                                                                                         |                     | 0                                                 |                                       | 0                                                                | 0.00                                                                                                                                       |
|     |                                                                                 |                  | 0.00                                                                                                                         |                     | 0                                                 |                                       | 0                                                                | 0.00                                                                                                                                       |
| AL  |                                                                                 | 12061801         | 9.87                                                                                                                         | 0                   | 0                                                 | 0                                     | 0                                                                | 9.87                                                                                                                                       |



(I)(d) Statement showing details of locked-in shares

| Sr. No. | Name of the shareholder     | Number of locked-in shares | Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|---------|-----------------------------|----------------------------|----------------------------------------------------------------------------------------------------------------------------------------|
| 1       | Rajinder Uttamchand Miglani | 1383750                    | 1.13                                                                                                                                   |
| 2       | Anuj R Miglani              | 84940                      | 0.07                                                                                                                                   |
| 3       |                             |                            | 0.00                                                                                                                                   |
| 4       |                             |                            | 0.00                                                                                                                                   |
| 5       |                             |                            | 0.00                                                                                                                                   |
| 6       |                             |                            | 0.00                                                                                                                                   |
| 7       |                             |                            | 0.00                                                                                                                                   |
| 8       |                             |                            | 0.00                                                                                                                                   |
| 9       |                             |                            | 0.00                                                                                                                                   |
|         |                             |                            |                                                                                                                                        |
|         |                             |                            |                                                                                                                                        |
| TOTAL   |                             | 1468690                    | 1.20                                                                                                                                   |



**(I)(a) Statement showing details of Depository Receipts (DRs)**

| Sr. No.      | Type of outstanding DR<br>(ADRs, GDRs, SDRs, etc.) | Number of outstanding<br>DRs | Number of shares underlying<br>outstanding DRs | Shares underlying outstanding DRs as a<br>percentage of total number of shares {i.e.,<br>Grand Total (A)+(B)+(C) indicated in<br>Statement at para (I)(a) above} |
|--------------|----------------------------------------------------|------------------------------|------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1            |                                                    |                              |                                                | 0.00                                                                                                                                                             |
| 2            |                                                    |                              |                                                | 0.00                                                                                                                                                             |
| 3            |                                                    |                              |                                                | 0.00                                                                                                                                                             |
| 4            |                                                    |                              |                                                | 0.00                                                                                                                                                             |
| 5            |                                                    |                              |                                                | 0.00                                                                                                                                                             |
| 6            |                                                    |                              |                                                | 0.00                                                                                                                                                             |
| 7            |                                                    |                              |                                                | 0.00                                                                                                                                                             |
| 8            |                                                    |                              |                                                | 0.00                                                                                                                                                             |
| 9            |                                                    |                              |                                                | 0.00                                                                                                                                                             |
|              |                                                    |                              |                                                |                                                                                                                                                                  |
|              |                                                    |                              |                                                |                                                                                                                                                                  |
| <b>TOTAL</b> |                                                    | <b>0</b>                     | <b>0</b>                                       | <b>0.00</b>                                                                                                                                                      |





## 7

**For UTTAM GALVA STEELS LIMITED**



**Ram Gaud**  
**Joint Company Secretary**

(I)(d) Statement showing details of locked-in shares

| Sr. No.      | Name of the shareholder     | Number of locked-in shares | Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|--------------|-----------------------------|----------------------------|----------------------------------------------------------------------------------------------------------------------------------------|
| 1            | Rajinder Uttamchand Miglani | 1383750                    | 1.13                                                                                                                                   |
| 2            | Anuj Miglani                | 84940                      | 0.07                                                                                                                                   |
| 3            |                             |                            | 0.00                                                                                                                                   |
| 4            |                             |                            | 0.00                                                                                                                                   |
| 5            |                             |                            | 0.00                                                                                                                                   |
| 6            |                             |                            | 0.00                                                                                                                                   |
| 7            |                             |                            | 0.00                                                                                                                                   |
| 8            |                             |                            | 0.00                                                                                                                                   |
| 9            |                             |                            | 0.00                                                                                                                                   |
|              |                             |                            |                                                                                                                                        |
|              |                             |                            |                                                                                                                                        |
| <b>TOTAL</b> |                             | <b>1468690</b>             | <b>1.20</b>                                                                                                                            |